

POLICY FOR PRESERVATION OF DOCUMENTS

I. PREFACE

The Board of Directors of Universal Autofoundry Limited has approved the following Policy of the Company for preservation of Documents /Records maintained by the Company either in Physical Mode or Electronic Mode. This Policy has been formulated in accordance with the Regulation 9 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

II. OBJECTIVE OF THE POLICY :

The objective of this Policy is to ensure that the all the necessary documents and records of the Company are adequately protected and preserved as per the statutory requirements and to ensure that the records of the Company which are no longer needed or are of no value are discarded after following the due process for discarding the same.

III. INTERPRETATION :

The words and expressions used and not defined in this policy but defined in the Companies Act, 2013 or rules made there under or the Securities and Exchange Board of India Act, 1992 or regulation made there under or Depositories Act, 1996 shall have the same meanings respectively assigned to them in those acts, rules and regulations.

IV. POLICY FOR PRESERVATION OF DOCUMENTS

The documents to be preserved under this policy are divided in the following categories:

- a. Documents whose preservation shall be permanent in nature shall be preserved permanently by the Company subject to the modifications, amendments, addition, deletion or any changes made therein from time to time; (List of such Documents are given in Annexure A).
- b. Other Documents shall be preserved for a period of not less than eight (8) years after completion of the relevant transactions subject to the modifications, amendments, addition, deletion or any changes made therein from time to time. (List of such Documents are given in Annexure B)

The Company may keep the Documents specified above in clauses (a) & (b) in electronic mode.

V. ROLES & RESPONSIBILITIES

The respective Functional/ Departmental heads of the Company shall be responsible for maintenance and preservation of documents in respect of the areas of operations falling under the charge of each of them, in terms of this Policy

V. APPLICABILITY AND MODES OF PRESERVATION

This Policy is applicable to all documents maintained in physical and electronic mode by the Company. The preservation of documents should be such as to ensure that there is no tampering, alteration, destruction or anything that endangers the content, authenticity, utility or accessibility of the documents.

The documents not specifically covered under this policy shall be preserved and maintained in accordance with the provisions of the respective acts, rules, guidelines and regulations as applicable under which those documents are maintained. The Registrar and Share Transfer Agent shall ensure that the correct procedures are followed for maintenance of the Records required to be maintained by them.

IV. AMENDMENT

Any change in the Policy shall be approved by the Board of Directors of the Company. The Board of Directors shall have the right to withdraw and / or amend any part of this Policy or the entire Policy, at any time, as it deems fit, or from time to time, and the decision of the Board in this respect shall be final and binding.

In case any provisions of the Policy are contrary to or inconsistent with the provisions of the Companies Act, 2013, rules framed thereunder and Listing Regulations ("Statutory Provisions"), the provisions of Statutory Provisions shall prevail.

V. DISSEMINATION OF THE POLICY

The policy shall be hosted on the website of the Company i.e. www.ufindia.com

Sd/-
Chairman's Signature

Annexure A

Documents whose preservation shall be permanent in nature:

- a) Certificate of Incorporation of the Company
- b) Memorandum / Articles of Association
- c) Registration Certificates
- d) Licenses & Statutory Approvals.
- e) Statutory Registers required under applicable laws.
- f) Policies, Charters and Codes of the Company.
- g) Audited financial statements.
- h) Minutes of Minutes of Board, Committee and General meeting of Members of the Company.
- i) Material Agreements/Contracts, which, even if terminated, may have an impact on
- j) business.
- k) Orders/judgments issued by Courts/statutory bodies and other background documents relevant for understanding such Orders.
- l) Investment documents/proofs including certificates, etc.
- m) Title deeds and documents pertaining to immovable freehold and leasehold properties.
- n) Tax-Exemption, Subsidy documents and related correspondences.
- o) Any other document as may be required to maintain permanently in terms of applicable law(s), maintained and preserved from time to time.

Annexure B

Documents preserved for a period of not less than eight (8) years:

- a) Books of Accounts.
- b) Annual Return(s).
- c) Personnel Documents after retirement/resignation of the person concerned.
- d) Insurance Policies/ Claims under various policies after expiry or receipt of claim.
- e) Correspondences with Departments/shareholders.
- f) Non-Statutory Registers/Documents.
- g) Documents as are required to be maintained under relevant laws relating to direct and indirect tax.
- h) Any other document as may be required to be maintained for eight years in terms of applicable law(s).