

Date: 28.04.2023

To  
The General Manager,  
Corporate Relations Department  
BSE Limited  
25<sup>th</sup> Floor, P J Towers  
Dalal Street, Mumbai-400001

**Ref: Universal Autofoundry Limited (539314/UNIAUTO)**

**Sub: - Compliance Certificate Under Regulation 40(9) & (10) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015.**

Dear Sir(s),

Kindly find enclosed herewith, the compliance certificate issued by Ms. Rachna Sharma, (Membership no. 8344) (COP No. 9383), Practicing Company Secretary, in terms of Regulation 40(9) & (10) of the SEBI (LODR) Regulations 2015 for the year ended on 31st March 2023.

Kindly take the information on record.

**Enclosure: Certificate issued by Practicing Company Secretary.**

Yours faithfully,  
For **Universal Autofoundry Limited,**

**ISHU**  
**JAIN**  
Digitally signed  
by ISHU JAIN  
Date: 2023.04.28  
11:04:42 +05'30'

**Ishu Jain**  
**M. No. F10679**  
**Company Secretary & Compliance Officer**

Encl: As above



**ARMS AND ASSOCIATES LLP**  
**Practicing Company Secretaries**  
24 Ka 1, Jyoti Nagar, Jaipur Rajasthan, India-302004  
Telephone: +91- 141-3111777 Fax: +91-141-2740924  
Email: csrachnasharma@gmail.com  
website: www.armsandassociates.com

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI LISTING REGULATIONS 2015 (LODR)**

**FOR THE FINANCIAL YEAR ENDED 31<sup>st</sup> MARCH 2023**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **UNIVERSAL AUTOFOUNDRY LIMITED** ("the Company") CIN **L27310RJ2009PLC030038**, maintained by **KFIN TECHNOLOGIES LIMITED** pertaining to transfer of equity shares of the company for the period from **01/04/2022** to **31/03/2023** for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI Listing Regulations 2015 (LODR) and based on the information provided by the Company. I hereby certify that:

- A) The Company has not received any request for physical transfer of shares during the period from 1<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023.
- B) The Company has not received any request for issuing duplicate share certificates during the period 1<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023.
- C) The Company has not received any request for issuing split share certificates or for sub-division, consolidation, renewal, and exchange during the period from 1<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023.
- D) Any other information, if applicable. Nil

**Date: 24/04/2023**  
**Place: Jaipur**

**RACHNA**  
**SHARMA**

Digitally signed by RACHNA.SHARMA  
DN: cn=Personal,  
serialCode=302025, st=Rajasthan,  
serialNumber=F011A6C93FFB0173846  
779DB7D04324F0B3C3320B25758EF  
24F6C7E131E0D7, c=RACHNA  
SHARMA  
Date: 2023.04.24 17:01:24 +05'30'

**CS Rachna Sharma**  
**Practicing Company Secretary**  
**ARMS and Associates LLP**  
**M. No.: 8344 C.P. No.: 9383**  
**UDIN: F008344E000177898**